

# Index

## A Guide to the Index

The index is arranged hierarchically, in alphabetical order, with symbols preceding the letter A. Most second-level entries and many third-level entries also occur as first-level entries. This is to ensure that users will find the information they require however they choose to search for it.

Elements are XML Schema elements unless indicated otherwise.

### A

- absolute location path (XPath), 327**
- abstract elements**
  - cannot be instantiated in instance documents, 253
- Abstract Syntax Notation One**
  - see ASN.1.
- abstract types, 144**
- active elements (Schematron)**
  - pattern attribute, 501
- actor SOAP-ENV attribute, 541**
- aggregation**
  - kinds of relationship between objects, 410
- all compositor**
  - getting around restrictions imposed by, 261
- all element, 79, 391, 603**
  - attributes, 604
- all group**
  - allows elements to appear in any order, 259
  - restrictions, 261
- annotation element, 27, 28, 490, 604**
- annotations example, 27**
- anonymous complex type, 15, 76, 86**
- any element**
  - attributes, 605
- any element content, 89**
  - times when appropriate, 89
- anyAttribute element**
  - attributes, 606
- anyURI datatype, 54**
- appinfo element, 27, 28, 380, 490, 558, 607**
  - attributes, 607
- Application element, 568**
- arcs, digraphs, 580**
- ASN.1**
  - optimization of BER (Basic Encoding Rule), 532
  - targeted at communications infrastructure and telemetry
  - sectors, 533
- assert element (Schematron)**
  - diagnostics attribute, 500
- association**
  - kinds of relationship between objects, 409
- atomic datatypes, 48**
  - may be primitive or derived, 48

### atomicity

- definition, 339
- attribute declarations, 23**
  - always simple types, 23
  - global and local, 24
  - occurrence, 24
  - unordered, 23
  - value constraints, 25
- attribute element, 363, 607**
  - attributes, 608
  - declaring an attribute, 16
  - form attribute, 207
- attribute groups, 84**
- attribute information item, 30**
- attributeFormDefault attribute**
  - schema element, 201
  - setting value as unqualified, 215
- attributeGroup element, 85, 608**
  - attributes, 609
  - name attribute, 84
- attributes**
  - locating, 327
  - used to distinguish types of element, 263
- authoring tools**
  - TurboXML (TIBCO Extensibility), 663
  - XML Spy 3.5 and 4.0 (Altova), 664

### B

- base64Binary datatype, 57**
- block escape, 124, 129**
  - commonly used Unicode character blocks, 129
- boolean datatype, 56**
- bounds facet, 108**
  - bounded schema component, 108
- built-in derived types, 64**
  - categories, 64
  - string types, 66
- built-in primitive types, 53**
  - date/time datatypes, 59
  - decimal types, 69
  - encoded binary datatypes, 56
  - numeric datatypes, 57
  - string types, 54

**built-in datatypes, 477**

- see also constraining facets of built-in datatypes, namespaces, 52
- primitive and derived, 47
- built-in simple types, 14**
- business process analysis, 402**
  - background information, 403
  - cyclical nature of modeling, 402
  - identifying business domain objects, 404
  - Object Diagram, 404
  - system decomposition and abstraction, 407
    - rules of thumb, 408
  - Use Case Diagram, 404, 405
- byte datatype, 70**

## C

**CALS exchange table model**

- see OASIS model.

**camel case, 230****canonical representation, 46****cardinality**

- properties and sub-objects, 409

**cardinality facet, 108**

- cardinality schema component, 109

**category escape, 124**

- table of varieties, 127

**chameleon design, 290**

- good for internal schemas, 292

- industry-wide schemas

- points to consider, 292

- namespace coercion, 290

- qualifying markup, 296

**character class escapes, 124**

- single character escape, 125

**character class expressions**

- block escapes, 129

- category escapes, 127

- character groups, 122, 124

- character ranges, 123

- multi-character escape, 126

**character class subtraction, 124****character groups, 124****character Information Item, 30****choice element, 79, 609**

- attributes, 610

**choice groups**

- ideal for representing either/or choices, 252

- more helpful when, 254

**cold arcs, 588**

- PNML-manipulating stylesheet

- declaring, 584

**co-location, 508****combination uniqueness constraints, 331****complex type definitions, 76****complex types, 44**

- compared to simple types, 15

- defined, 14

- nesting, 15

- re-use, 238

- example, 239

**complexContent element, 138**

- attributes, 610

**complexType element, 13, 88, 378, 610**

- anonymous complex types, 76

- attributes, 611

- block attribute, 364

- final attribute, 364

- named complex type, 76

**composition**

- kinds of relationship between objects, 410

**compositors, 78**

- combining, 80

- repeating, 80

**concatenated primary key**

- definition, 337

**constraining facets, 46**

- enumeration facet, 113, 639

- fractionDigits facet, 643

- length facet, 636

- length, minLength, maxLength facets, 109

- maxExclusive facet, 641

- maxInclusive facet, 640

- maxLength facet, 637

- minExclusive facet, 641

- minInclusive, minInclusive, maxExclusive, maxInclusive facet, 113

- example of value space bounded above, 114

- exclusive bound, 113

- inclusive bound, 113

- minInclusive facet, 642

- minLength facet, 637

- pattern facet, 112, 638

- totalDigits facet, 642

- totalDigits, fractionDigits facets, 114

- whiteSpace facet, 111, 639

**constraining facets of built-in datatypes, 115**

- derived datatypes, table, 117

- primitive datatypes, table, 116

**constraint, business rule, 424****contacts schema example, 93**

- declaring the namespace for XML Schema, 96

- define the root element, 96

- named complex type, 97

- telephone numbers are integer types, 98

**content models**

- choice group, 252

- creating, 75

- attribute groups, 84

- compositors, 78

- element content specifications, 85

- must be defined globally, 84

- named model groups, 81

- identifying element types, 482

- substitution group, 252

**context nodes, 328****Controller**

- implementation, 570

- implements linkage between View and Model, 567

- initializing, 577

- majority of code never changes, 575

**copy element, 586****countries**

- see also custom datatypes example

- country codes (ISO 3166), 151

- table of currencies, ISO codes and languages, 151

- three character codes, 151

- two character codes, 151

**country description example, 156**

- section schemes, 479**
  - Currency Codes (ISO 4217), 153**
    - examples, 153
  - custom datatypes examples, 150**
    - countries
      - Country Codes (ISO 3166), 151
      - Currency Codes (ISO 4217), 153
      - Language Codes (ISO 639), 155
      - length and pattern facets, 152
      - country description example, 156
    - geodetic locations, 178
    - internet datatypes, 157
      - domain names, 159
      - IP addresses, 158
      - URLs and URLs, 160
    - people, 163
      - gender, 164
      - names, 163
      - telephone numbers, 165
    - postal addresses, 168
      - NAFTA and British Addresses example, 175
      - postal codes, 172
      - postaladdress datatype - revised, 173
    - regions, 170
    - street addresses, 169
    - simple basic types, 150
      - fractions, 150
      - single character, 150
- D**
- Data Dictionary, create, 411**
  - data integrity, 339**
    - domain integrity, 342
    - entity integrity, 340
    - referential integrity, 342
    - user-defined integrity, 343
  - data model testing, 438**
  - data modeling, 426**
    - creating schema and instance documents, 427
    - global declarations, 435
    - glossary of terms, 395
    - modeling process schematic, 396
    - namespaces, schemas, and instance documents, 426
    - objects compared to instances, 396
      - types of state, 397
    - principles, 394
    - simplified approach, 394
  - data points**
    - elements or attributes, 448
  - data redundancy**
    - definition, 337
  - database terms**
    - clarifying, 337
  - data-centric XML documents**
    - differences to document-centric XML documents, 459
  - datatype derivation**
    - block attribute, 146
    - blockDefault attribute, 146
    - final attribute, 145
    - finalDefault attribute, 146
    - fixed attribute, 145
  - datatype inheritance, 135**
    - deriving complex types, 138
    - deriving simple types, 137
    - type hierarchy, 136
      - derive new complex types, 136
      - derive new simple types, 136
  - datatype properties, 45**
  - datatypes, 43, 149**
    - advantages, 43
    - built-in types compared to user-defined, 48
    - deriving by restriction, list or union, 47
    - different kinds, 48
  - datatypes in XML overview, 44**
  - date datatype, 61**
  - date/time datatypes, 54, 59**
  - dateTime datatype, 60**
    - acceptable formats, 60
  - decimal datatype, 57**
  - decimal types, 69**
  - declarative programming, 564**
    - formal methods, 565
    - SBP, 564
    - SQL is most common example, 564
  - declaring order and occurrence**
    - fixed order, all elements required, 242
    - fixed order, optional elements, 242
    - requiring both elements or none, 244
    - requiring elements in a mixed model, 244
    - requiring repeating sequences of elements, 243
  - definition business rule, 424**
  - delivery receipt schema example**
    - validation with XSV (XML Schema Validator), 36
  - derivation business rule, 425**
  - derived datatypes, 47, 105**
    - complex types, 105
    - defined in terms of an existing datatype, 47
    - simple types, 105
  - deriving complex types, 101**
    - abstract types, 144
    - deriving by extension, 139
    - deriving by restriction, 142
    - restrictions on element content, 138
      - complexContent element, 138
      - extension element, 139
      - restriction element, 138
      - simpleContent element, 138
    - substitution groups, 143
  - diagnostic element (Schematron), 500**
  - diagnostics element (Schematron), 500**
  - Digital Object Identifier**
    - see DOI.
  - digraphs, 579**
  - dir element (Schematron), 503**
  - disabled transitions, 585**
    - PNML-manipulating stylesheet
      - declaring, 584
  - document analysis**
    - mapping structure of document set, 463
    - analyzing structures, 463
    - identifying data types, 466
  - document class requirements**
    - normalizing XML, 347
  - document fragments**
    - constructing a dummy document, 353
    - context, 353
    - positioning schemas' identity constraints, 351
    - problems with multiple schemas, 352
    - problems with references and uniqueness, 350
      - cross-references, 350
      - ID and IDREF datatypes, 350
      - key and keyref relationships, 350
    - validation into a two-step process, 352
  - document information item, 30**

- document management, 459**  
developing a document schema, 462  
managing document schema development, 462  
Physics Press goes online case study, 462
- document schemas compared to data schemas**  
ratio of tags to content, 460  
what XML documents model and what they leave out, 461  
XML data example, 460  
XML document example, 460
- document scope**  
determining content, 443  
representational or transactional, 443
- Document Type Definitions**  
see DTDs.
- document() function, 363, 376**
- documentation**  
creating, 358  
navigating between components, 365  
navigating schemas, 364  
retrieving the target namespace, 362  
    creating templates, 363  
schema information, 360  
summarizing components, 361  
summarizing list attributes, 363
- documentation element, 27, 28, 358, 490**  
attributes, 612
- document-centric XML documents**  
differences to data-centric XML documents, 459
- documents**  
breaking into fragments, 349
- DOI, 466**  
standard numbering scheme, 472
- domain integrity, 342**  
appropriateness of data for specific field, 342
- domain key normal form**  
rule, 347
- domain names**  
internet datatypes, 159
- Dot.Notation, 230**
- double datatype, 58**
- DTD cardinality operators**  
functionality replicated by occurrence restraints, 20
- DTDs, 10**
- Dublin Core Metadata Initiative**  
meta data elements for published works, 477
- duration datatype, 59**
- E**
- e-commerce case study, 537**  
architecture, 545  
    advantages of passing documents not parameters, 545  
    advantages of using SOAP, 546  
    communication between bank and partner, 545  
    communication with the customer, 545
- automation, 538
- data structures, 549  
    database and tables, 549
- design, 546  
    conversation sequence diagram, 547  
    transactions and messages, 548
- message schema, 550  
    sample messages, 555  
    schemaLocation attribute embedded in each message body, 557
- project requirements, 543  
    design goals, 545  
    main use, 543  
project scenario, 539  
SOAP, 537
- element content**  
defined as allowed to have child elements, 86
- element content specifications, 85**  
any content, 89, 90  
element content, 86, 87  
empty elements, 89  
mixed content, 88  
nil values, 92
- element declarations, 16**  
element occurrence indicators, 20  
global and local, 17  
value constraints on element content  
    default and fixed content, 22
- element element, 13, 363, 378, 387, 390**  
attributes, 612  
block attribute, 364  
default attribute, 22  
final attribute, 363  
fixed attribute, 22  
form attribute, 207  
name attribute, 16
- element information item, 30**
- element naming, 478**
- element occurrence indicators, 20**  
examples, 21
- element only content, 482**
- element type definitions**  
example, 16
- elementFormDefault attribute**  
schema element, 201, 204  
setting value as qualified, 215
- e-mail addresses (mailto:**  
internet datatypes), 162
- emph element (Schematron), 503**
- empty elements**  
add attributes, 89  
ideal for place holders, 89
- enabled transitions, 585**  
PNML-manipulating stylesheet  
    declaring, 584
- encoded binary datatypes, 53, 56**
- entities, definition, 337**
- ENTITIES datatype, 69**
- ENTITY datatype, 69**
- entity integrity, 340, 345**  
enforcement with primary key, 340  
unique identifier, 340
- enumerating a simple Type, 250**
- enumeration facet, 113,**  
    attributes 639
- equality facet, 106**
- Exampplotron**  
attributes and import element, 530  
compiles XSL validators from XML documents, 528  
reasons to use, 531
- extending types**  
points to remember, 302
- extends element (Schematron), 503**  
abstract attribute, 503
- extensible content models**  
substitution groups, 309  
disadvantages, 311

**extensible content models (continued)**

using unknown content  
any or anyAttribute wildcard, 311  
controlling, 313  
processContents attribute, 312

**extension element, 139, 614**

attributes, 615

**F****facets - datatype properties, 45****facets - datatypes properties, 46****facets of datatypes, 105**

constraining facets, 109  
fundamental facets, 106

**fact, business rule, 425****failed-assert element (Schematron), 498****field element**

attributes, 615

**field, XPath expression, 327****first grade XML normalization**

document class requirements, 347  
structural normalization suggestions, 347

**first normal form**

functional dependencies, 345  
maintain entity integrity, 345  
rule, 344

**Flat Catalog Design**

see Salami Slice Design.

**float datatype, 58**

canonical form, 58

**for-each element, 595**

XSLT elements, 575

**foreign key**

definition, 337

**form attribute**

attribute element, 207  
element element, 207

**form creation, 375**

accessing schemas from instances, 375

**form generation, 376**

creating form fields, 381  
creating labels, 380

**formal methods, 565**

declarative programming, 565

**Foundation Classes**

designed around MVC, 566

**fractionDigits facet, 114, 643**

attributes, 643

values, 114

**functional dependencies, 345**

definition, 338

**fundamental facets, 46**

bounds facet, 108  
cardinality facet, 108  
equality facet, 106  
numeric/non-numeric facets, 109  
order facet, 107

**future proofing, 474****G****gaining tokens, 585**

PNML-manipulating stylesheet  
declaring, 584

**gDay datatype, 63****generate-id() function, 365****generic elements, 475****geodetic locations, 178****global declarations, 435**

global attribute declarations, 24, 435  
global element declarations, 17, 435  
complex types can use by creating reference, 17

**gMonth datatype, 63****gMonthDay datatype, 63****granularity**

level of mark up-required, 467

**group element, 81, 391, 615**

attributes, 616

minOccurs and maxOccurs attributes, 83

**grouping and hierarchy**

advantages of grouping for processing, 248

creating hierarchical structures, 249

grouping related items of information, 246

grouping same information items, 247

key uses, 246

**gYear datatype, 62****gYearMonth datatype, 62****H****heterogeneous namespace design, 285**

effects on instance documents, 288

**hexBinary datatype, 57****hierarchical structures**

creating, 249

**holding states, 584**

PNML-manipulating stylesheet, declaring, 584

**homogeneous namespace design, 288****hot arcs, 584, 588**

PNML-manipulating stylesheet, declaring, 584

**HTML elements**

script element, 575

**I****ID datatype, 67**

limitations, 332

**identifying data types**

content which falls in particular patterns, 466

**identity constraints, 321**

defining, XPath expressions required, 327

definitions must be unique within a schema, 331

documents representing relational database structures, 336

key and keyref mechanism, 322

key and keyref mechanisms, 100

locating nodes with XPath, 325

specifying, 326

stafflist example, 322

unique values, 329

uniqueness constraints, 100, 322

XML ID type, 329

**IDREF datatype, 68**

limitations, 332

**IDREFS datatype, 68**

limitations, 332

# import element

---

**import element, 373, 616**  
    attributes, 617  
    namespace attribute, 280, 371  
    schemaLocation attribute, 280  
    XSLT elements, 575

**import mechanism, 280**

**include element, 273, 374**  
    attributes, 617  
    schemaLocation attribute, 369

**include mechanism, 274**

**info set**  
    see information set

**information modeling**  
    business rules, 423  
    cardinality, 409  
    components, 408  
    custom datatypes, 423  
    Data Dictionary, 411  
    defining properties, 419  
        distinguishing business rules from application rules, 420  
    kinds of relationship between objects, 409  
        standard notation for diagrams, 410  
    primary object list, 410  
        class diagram, 413  
        create definitions, 411  
        future proofing and scope of model, 414  
    secondary object list, 414  
    sequence diagrams, 416  
        messages and properties, 417

**information set**  
    analogous to the term tree, 30  
    consists of information items, 30  
    contributions to namespace qualification, 199  
    element and attribute information item  
        properties for namespace resolution, 200  
    local name and namespace properties, 200  
        must match with values in schema, 200  
    provide a consistent set of definitions, 30

**inheritance**  
    kinds of relationship between objects, 409

**instance document**  
    adding namespaces, 199  
    validating against schema, 77  
    validity, 197

**int datatype, 70**

**integer datatype, 69**

**intelligent content, 462**

**Intentions element, 568, 575**  
    collection of codeBlock elements, 570  
    possesses procedural code, 570

**internet datatypes**  
    domain names, 159  
    e-mail addresses (mailto:), 162  
    IP addresses, 158  
    URIs and URLs, 160  
    URLs, 161

**IP addresses**  
    internet datatypes, 158

**K**

**key element**  
    attributes, 617  
    name attribute, 333

**key element (Schematron)**  
    allows accessing of XSLT keys, 505  
    path and name attributes, 505

**key mechanism, 322**

**key() function, 366**

**key-keyrefs relationships**  
    modeling the logical schema relationship, 449

**keyref element**  
    attributes, 618  
    refer attribute, 333

**keyref mechanisms, 322**

**keys and key references, 332**  
    naming conventions, 334  
    specify scope of, 332  
    syntax, 333

**L**

**language codes (ISO 639)**  
    older two-character language codes, 156

**Language Codes (ISO 639), 155**  
    examples, 155

**language datatype, 66**

**leaf elements**  
    advantage of XML Schema over DTDs, 477

**length facet, 109**  
    attributes, 636

**lexical space - datatype properties, 45, 46**

**list datatypes**  
    always derived types, 49  
    base type known as itemType, 49

**list element**  
    attributes, 618

**local element declarations, 17**

**local schema-validity, 31**

**long datatype, 70**

**losing tokens, 585**  
    PNML-manipulating stylesheet, declaring, 584

**M**

**magazine publisher goes XML example, 397**  
    establishing system requirements, 397  
        functions XML applied to, 398  
        identify key players, 399  
        requirements definition and analysis, 400  
    publisher background, 399

**mailto\_Name datatype**  
    pattern value, 162

**markup languages**  
    history, 459

**maxExclusive facet, 113**  
    attributes, 641

**maxInclusive facet, 113**  
    attributes, 640

**maxLength facet, 109, 382, 383**  
    attributes, 637

**maxOccurs**  
    element occurrence indicators, 20

**minExclusive facet, 113**  
    attributes, 641

**minInclusive facet, 113, 642**  
    attributes, 642

**minLength facet, 109, 637**  
    attributes, 637

**minOccurs**  
    element occurrence indicators, 20

- mixed content, 88, 482**  
combination of child elements and character data, 88
- Model**  
core data in application, 566  
core functionality, 566  
state information, 566  
implementation, 568
- Model - View - Controller**  
see MVC.
- Model element, 568**  
declaration, 569
- model group definition**  
creating, 81
- model group navigation, 384**
- model group schema components, 81**
- modular schemas**  
creating, 269, 315  
dependencies, 317  
documenting, 317  
functionality gained, 269, 270  
granularity of schema components, 316  
include and redefine mechanism, 279  
practical for internal schemas, 319
- modularization of XHTML, 269**  
core modules, 269  
optional modules, 269  
points of conformance, 269
- MSXML 4 extensions, 516**  
Schematron example, 517
- MSXML4 and XDK (Microsoft)**  
working with, 661  
XML Schema conformance, 660
- multi-character escape, 124**  
table of pre-defined sequences, 126
- multiple schemas**  
how many namespaces should be used, 284  
chameleon design, 290  
design approach comparison, 291  
heterogeneous namespace design, 285  
homogeneous namespace design, 288
- mustUnderstand SOAP-ENV attribute, 541**
- MVC**  
Controller, 567  
implementation, 570  
Model, 566  
implementation, 568  
seperates state and behavior from visual interface, 565  
View, 567  
implementation, 569
- N**
- NAFTA and British Addresses example, 175**  
Simple Child Element Form – Canadian (CAN), British (GBR), and Mexican (MEX) Addresses, 176  
US address - detailed street data attribute form, 177
- name collisions, 297**  
example, 297  
Proxy Schemas, 297
- name datatype, 67**
- name element (Schematron), 499**
- named complex type, 15, 76, 87**
- named model groups, 81, 87**  
creating re-usable building blocks, 82  
defined globally, 82
- repeating model groups, 83  
occurrence operators, 83  
re-use, 238  
example, 239
- Venetian Blind Model**  
advantages of using, 224
- namespace coercion, 273**
- namespace information item, 30**
- namespace prefix, 186**  
qualifying elements, 18
- namespace qualification, 198**
- namespace support, 101**  
purposes, 101
- namespaces, 183**  
declaring, 186  
reserved attributes, 186  
declaring and using in XML document, 185  
defaulting, 189  
examples, 190  
defining for markup, 195  
creating vocabularies that do belong to a namespace, 196  
creating vocabularies that do not belong to a namespace, 195  
*xs:noNamespaceSchemaLocation attribute, 196*
- elements and attributes qualification, 201
- hide or expose, 293  
different models, 293  
name collisions, 297  
should default namespace be the targetNamespace or XML Schema namespace, 294
- infoset contributions to namespace qualification, 199
- local control of namespace qualification, 207
- models for local versus global declarations, 208  
Russian Doll Design, 209  
Salami Slice Design, 210  
Venetian Blind Model, 214
- namespace qualification, 198
- no namespace, 191
- rules to remember, 206
- scope, 187  
using multiple namespaces in document, 187
- support with DTDs is difficult, 183
- uniqueness of attributes, 192
- use no default namespace declaration, 294
- way of identifying where markup has come from, 185
- namespaces for built-in data types, 52**  
declaring, 53
- naming conventions, 229**  
abbreviated names, 229  
consistency, 230  
descriptiveness, 230  
distinguish type and group names, 231  
intuitiveness, 229  
overloaded names, 230  
Dot.Notation, 231  
examples, 231, 232  
readability, 230
- naming elements, 478**
- navigating between schemas**  
incorporating other schemas, 369
- navigating schemas**  
navigating between components  
key definitions, 365  
linking in documentation, 368  
resolving definitions, 367
- NCName datatype, 67**
- negative character group, 124**

- negativeInteger datatype, 70**  
**nil values, 92**  
analogous to the use of 0 versus NULL in SQL, 92  
**NMTOKEN datatype, 68**  
**NMTOKENS datatype, 68**  
**nodes**  
locating with XPath, 325  
**noNamespaceSchemaLocation attribute, 29**  
**nonNegativeInteger datatype, 69**  
**nonPositiveInteger datatype, 69**  
**normal forms, 343**  
problems solved by, 343  
rules do not always hold true for XML data, 343  
**normalization**  
simplifies structure of data, 336  
**normalizedString datatype, 66**  
**normalizing XML, 347**  
document class requirements, 347  
document fragments, 349  
advantages, 349
- O**
- OASIS model, 477**  
**occurrence constraints, 79**  
**order facet, 107**  
ordered schema component, 107  
total or partial order, 107  
**orphaned elements, 450**  
**overloaded names, 230**  
retrieval of information using SAX or DOM, 231
- P**
- p element (Schematron), 503**  
**P/T nets**  
graphical representation, 580  
reasons to use, 580  
**parallel design**  
makes structures easier to learn, 235  
see also consistent structure, 235  
**param element**  
XSLT elements, 574  
**parent and child items**  
one-to-one mapping desirable, 231  
**Parsers and XML Development Kits (XDKs)**  
MSXML4 and XDK (Microsoft), 660  
xerces-c (C++) and xerces-j (Java), 659  
XML Schema Processor and XDKs (Oracle), 661  
XML4C and XML4J (IBM), 660  
**pattern elements (Schematron)**  
id attribute, 501  
**pattern facet, 112, 638**  
attributes, 638  
regular expressions, 118
- Perl regex module**  
regex syntax comparison, 133  
**permissive schemas, 228**  
**Petri Net markup language**  
see PNML.  
**Petri Nets**  
digraphs, 579  
mathematical graphs, 579  
P/T net, 580  
**phase element (Schematron), 501**  
**Physics Press goes online case study, 461**  
domain-specific markup  
mathematical equations, 468  
future proofing, 474  
granularity required, 467  
identifying data types  
built-in date datatypes, 466  
intelligent content, 462  
managing document schema development, 462  
managing schema design process  
dividing the task, 481  
re-purposing content, 462, 472  
turning the design into a schema, 482  
**place/transition net**  
see P/T net.  
**places**  
digraphs, 580  
**PNML**  
suited to the representation of simple Petri Nets, 581  
**PNML document**  
general structure, 581  
arcs, 583  
place element, 582  
transitions, 583  
**positive character group, 124**  
**positiveInteger datatype, 70**  
**possibleHouseForSale.xsd schema**  
creating documentation, 358  
turn schema into HTML page, 359  
**prescriptiveness of schemas, 470**  
meta-data, 471  
optional parts, 470  
**primary components, 102**  
**primary key**  
definition, 337  
**primitive datatypes, 47**  
cannot be defined from smaller components, 47  
**process model, 425**  
iterative development, 426  
**processing XML documents, 228**  
**properties of fields**  
definition, 338  
**protecting markup - controlling re-use, 313**  
enforcing namespace qualification, 313  
preventing derivation of types, 314  
block for control in instance documents, 315  
final types, 314  
fixed attribute for simpleTypes, 314
- Q**
- QName datatype, 56**  
**qualification**  
namespaces, 198  
**quantifiers, 130**

**R**

**Rational Rose**  
modeling UML, 402

**RDBMS**  
maintaining stability, security, and accuracy of data, 336  
normalization, 336

**redefine element**, 277, 303, 370, 374  
attributes, 619

**redefine mechanism**, 276  
altering schema constructs, 303  
schema constructs, altering  
example, 304

**referencing elements and attributes**  
re-use, 238  
example, 239

**referential integrity**, 342

**regex syntax comparison**, 131  
Perl, 133  
table of differences between Perl and XML Schema, 133  
unicode, 132

**regexes**  
see regular expressions and XML Schema regexes

**regular expressions**, 118  
XML Schema regexes, 119  
character classes, 122

**REgular LAnguage for XML**  
see Relax NG.

**relational database schema**  
logical schema, 442

**relative location path (XPath)**, 327

**Relax NG**, 523  
compared to XML Schemas and DTDs, 524  
modularity, 526  
namespace support, 525  
structural validation, 524  
validation, 526  
example schema, 523  
namespace, 524  
why use, 527

**repeating model groups**  
group element  
minOccurs and maxOccurs attributes, 83

**report element (Schematron)**  
diagnostics attribute, 500

**re-purposing content**, 462, 472

**reserved attributes**, 186

**restriction element**, 138, 302, 303, 381, 382, 620  
attributes, 622

**re-use of schema constructs**  
complex types, 238  
cross-schema re-use, 237  
example, 238  
internal re-use, 237  
named model groups, 238  
referencing elements and attributes, 238

**rich tokens**, 587

**Russian Doll Design**, 209  
characteristics, 212  
child declarations, decoupled, 212  
child elements and attributes are opaque, 212  
compared to Salami Slice Design, 214  
localized scope, 212

**S**

**Salami Slice Design**, 210  
characteristics, 213  
child elements and attributes are transparent, 213  
declarations are considered coupled, 213  
differences to Russian Doll Design, 214  
elementFormDefault and attributeFormDefault settings  
have no effect, 213  
global scope, 213  
use elements by reference, 213

**SBP (Schema Based Programming)**  
declarative programming, 564  
research project, 563

**SBP application implementation**, 565, 572  
event processing, 578  
notify () function, 578  
initial download actions, 573  
Controller activation, 574  
shell user interface creation, 573  
scheme of processing, 572

**SBP future directions**, 599  
development tools, 599  
expressive potential of Petri Nets, 600

**SBP Schema**, 567  
Application element, 568  
Controller, 570  
event elements, 568  
Intentions element, 568  
Model element, 568, 569  
required code and data, 567  
view element, 569  
view elements, 568

**Schema Based Programming**  
see SBP.

**Schema checkers**  
XML Schema Quality Checker (IBM), 662  
XML Schema Validator, 662

**schema components**, 102  
helper components, 103  
primary components, 102  
secondary components, 102

**schema constructs, altering**, 299  
deriving new types and element content models, 300  
extension, 300  
restriction, 302  
forcing substitution with abstract elements and types, 308  
methods of altering markup that we import and include, 300  
redefine, 303  
substitution groups, 306

**schema conversion tools**, 666

**Schema datatypes reference**, 629  
constraining facets, 636

**schema design**  
guidelines, 436

**schema design fundamentals**, 227  
choice of structures, 251  
choices of content models, 252  
limiting choice, 252

choices for simple content using enumeration, 250  
enumerating a simple type, 250

### **schema design fundamentals (continued)**

consistency, order and occurrence, 233, 236  
consistent structure, 233  
document structures, 234  
effects on processing requirements, 236  
parallel design, 235  
re-use of schema constructs, 237  
creating enumerated simple Type, 250  
creating hierarchical structures, 249  
declaring order and occurrence, 241  
elements and their content models  
choosing between, 254  
few choices and small content models, 254  
several choices or larger content models, 256  
using substitution groups, 257  
fixed order, flexible occurrence, 258  
flexible order and occurrence, 258, 259  
  allcompositor, 261  
grouping and hierarchy, 245  
naming conventions, 229  
orders, 235  
  applying, 235  
prescriptive versus descriptive models, 228  
restricting choices, 249  
  fixed values, 250  
structure should follow users experience, 234  
structures kept together in logical sequence, 252

**schema design process**

creating HTML-based, hyper-linked documentation, 490  
documentation, 490  
managing, 481  
  dividing the task, 481  
managing ongoing development, 491  
overview, 463  
strategic issues, 467  
  choosing an appropriate level of granularity, 467  
  choosing many specific or few general elements, 473  
coping with legacy data, 469  
documentation and usage guidelines, 476  
domain-specific markup, 468  
enumerating the different types of cited object, 474  
extensibility and future proofing, 474  
generic elements, 475  
prescriptiveness, 470  
rendering, 468  
smallest licensable units, 472  
use of inappropriate elements, 475

tactical issues

  built in data types, 477  
  creating data types, 478  
  data types, 477  
  element naming, 478  
  section schemes, 479  
  standard modules, 476  
testing the schema, 489  
turning design into process, 482

**schema development group**

developing a document schema, 462

**schema element, 373**

attributeFormDefault attribute, 201, 363  
attributes, 622  
blockDefault attribute, 146  
elementFormDefault attribute, 201, 204, 363  
finalDefault attribute, 146, 363  
targetNamespace attribute, 196, 362, 367  
  populating, 198

**Schema technologies**

ASN.1, 531  
attributes and import element, 530  
Examplotron, 528

XML Spy, 530

### **schemalocation attribute, 29**

**schemas, creating from multiple namespace**

examples, 270

### **Schematron, 493**

ability to call external documents or web transactions,  
559

and XPath 2.0, 516

and XSLT 2.0, 516

co-location

  example, 509

  extracting Schematron schema, 512

  reasons for, 509

co-location limitations

  different names, same type, 515

  namespace re-mapping, 516

  same name, different type, 514

co-location with XML Schema, 508

  validation process, 508

compiling a basic validator, 496

  using Saxon, 497

compiling and running an HTML validator, 498

compiling and running an XML validator, 497

  failed-assert element, 498

complementary features

  allows schema to specify root elements, 507

  designed to be layered over other schemas, 507

  runs in any environment that supports XSLT, 507

  Schematron allows arbitrary logical constraints, 506

  supports XSLT/XPath constraints of any complexity, 508

  XML Schema is grammar-based - Schematron is rule-based, 506

complementary to XML Schema, 494

declare namespace, 558

error message specification, 494

formatting/documentation, 503

inheritance, 503

phases, 500

  example, 501

rule based schema language, 494

  gives greater flexibility, 494

usage schemas

  can be layered on top of definitional schemas, 493

using with XML schema, 557

  non-XML schema constraints, 557

validation process, 496

writing a pattern containing a rule, 558

### **Schematron and XSLT 2.0**

sample using MSXML 4 extensions, 516

validation, 518

### **Schematron elements**

active element

  pattern attribute, 501

assert element

  diagnostics attribute, 500

  diagnostic element, 500

  diagnostics element, 500

dir element, 503

emph element, 503

extends element, 503

  abstract attribute, 503

  failed-assert element, 498

key element, 505

name element, 499

p element, 503

pattern elements

  id attribute, 501

phase element, 501

report element

  diagnostics attribute, 500

- Schematron elements (continued)**  
 span element, 503  
 value-of element  
   select attribute, 500
- Schematron namespace**  
 elements, 496
- Schematron Schema example**  
 Purchase Order, 495
- schematron technologies**  
 Relax NG, 523
- script element, HTML, 575**
- s-e range, 123**
- second grade XML normalization**  
 document class requirements, 348  
 structural normalization suggestions, 348
- second normal form**  
 rule, 345
- secondary components, 102**
- section schemes**  
 nesting, 479
- selector element**  
 attributes, 623
- selector, XPath expression, 327**
- separating characters, 230**
- sequence element, 14, 79, 86**  
 attributes, 623  
 maxOccurs attribute, 388  
 minOccurs attribute, 388
- short datatype, 70**
- Simple Type Definition, 47**
- simple types, 44**  
 defined, 14  
 differences to complex types, 14
- simpleContent element, 138**  
 id attribute, 624
- simpleType element, 47, 378, 379, 381**  
 attributes, 624  
 final attribute, 364
- single character escape, 124**  
 table of pre-defined sequences, 125
- single character reference, 123**
- single normal character, 123**
- smallest licensable units, 472**
- SOAP**  
 advantages over Perl, 546  
 links, 542  
 message structure, 539  
   Body element, 541  
   envelope element, 540  
   Header element, 540  
   SOAP Fault element, 542  
 overview, 539  
 provides transport layer, 537
- SOAP Body element, 541**
- SOAP Envelope element, 540**
- SOAP Fault element, 542**
- SOAP Header element, 540**  
 SOAP-ENV attributes, 541  
 terminology, 540
- SOX, 44**
- span element (Schematron), 503**
- stafflist example, 322**  
 four things demonstrated, 325  
 locating nodes with XPath, 325  
 relationships and uniqueness constraints, 334
- schema, 323  
 specifying identity constraints, 326  
 structure, 322
- Standard Generalized Markup Language**  
 see SGML.
- standard modules, 476**  
 advantages, 477  
 SGML defacto standards, 477  
 should be used where possible, 476  
 will soon be developed, 476
- string datatypes, 54**  
 derived, 66  
 primitive, 53, 54
- structural requirements**  
 normalizing XML, 347
- stylesheet element**  
 XSLT elements, 574
- substitution groups, 309**  
 allows substitution of elements, 253  
 caveats, 306  
 handling choice between elements, 257  
 head element, 143  
 limitations, 253  
 more extensible, 253  
 more helpful when, 254  
 one element acts as the head, 253

**T**

- targetNamespace**  
 use as default namespace for the schema document  
   example, 295  
 use as default namespace for the schema document  
   option, 294
- templates**  
 link mode  
   \$ QName parameter, 368  
 to retrieve target namespace, 363
- text only content, 482**
- text-only element content models**  
 re-use, 240
- third normal form**  
 document class requirements, 348  
 rule, 346  
 structural normalization suggestions, 348
- time datatype, 62**
- token datatype, 66**
- tokens, digraphs, 580**
- Topologi**  
 interactive Schematron development tool  
   graphical validation tool, 520  
 Schematron development tool, 520
- totalDigits facet, 114**  
 attributes, 642  
 values, 114
- transform element**  
 XSLT elements, 574
- transitions, digraphs, 580**
- traversal path**  
 complex example, 453  
 example, 445  
 importance of, 444
- TurboXML (TIBCO Extensibility)**  
 XML Schema conformance, 664

**U****UDDI**

directory services, 560

**UML**

model categories, 401

Rational Rose, 402

see Unified Modeling Language.

strengths, 400

types of diagrams, 401

**UML and XML modeling, 400****UML reference**

classes and objects, 649

components, 654

inheritance, 652

multiple inheritance, 652

object interactions, 653

relationships, 649

aggregation, 651

association, 650

composition, 651

dependency, 650

multiplicity, 651

naming an association, 652

states, 652

**unicode**

levels of support for regex engines, 132

**unicode regex features**

XML Schema support, 132

**Uniform Resource Identifier**

see URI.

**Uniform Resource Locator**

see URL.

**union datatypes, 50****union element, 625**

attributes, 625

**union types**

base types known as member types, 50

example, 50

**unique element**

attributes, 626

**unique identifier, 340****unique values, 329**ensuring unique values within a document instance,  
329

flexibility, 329

**uniqueness constraint mechanism, 100****uniqueness constraints, 322**

defining is three-step process, 330

example, 330

**Universal Discovery, Description and Integration**

see UDDI.

**Unobtanium sample application, 589**

Controller

define an event, 596

Initialize function, 596

writing the event handler, 597

Model

devise P/T net, 591

schematic of the process, 590

View

prototype of user interface, 593

**unqualified elements**borrowing constructs from different target namespace,  
283

borrowing constructs from the same namespace, 278

**unsignedByte datatype, 70****unsignedInt datatype, 70****unsignedLong datatype, 70****unsignedShort datatype, 70****URLs**

internet datatypes, 161

**user-defined datatypes**

based on existing datatypes, 48

**user-defined integrity, 343****validating an instance document, 29**

indicating location of schema, 29

**V****validation**globally declared elements can be used as starting  
point, 199**validation tools**

validation with XSV (XML Schema Validator), 31

**validation with XSV (XML Schema Validator), 31**

delivery receipt schema example, 36

noNamespaceSchemaLocation attribute, 36

error messages, 33

validating a simple schema, 32

validating an instance document, 34

**value constraints**

attribute declarations, 25

element content, 22

**value space - datatype properties, 45****value-of element (Schematron)**

select attribute, 500

**Venetian Blind Model, 214**

compared to Salami Slice Design, 215

complex types and model groups as building blocks,  
221

encourages use of complexTypes, 214

example, 215

issues with complex types, 219

adding attributes, 220

associate each element name with only one type, 219

must be careful when defining, 220

named model groups

advantages of using, 224

schema example, 216

allowing re-use of schema components, 218

components, 217

**View**

implementation, 569

as HTML, 569

implementation of visual interface, 567

linked to Model, 567

**view element**

declaration, 569

**W****W3C XML Schema**

bridges divide between documents and data, 229

define different content models can be defined for  
elements with same name, 231**W3C XML Schema namespace**use as default namespace for the schema document,  
296use as default namespace for the schema document  
option, 294

**W3C XML Schema Recommendation**

advantages over DTDs, 12  
 three parts, 12  
 validation specification, 30  
 infoset, 30  
 local schema-validity, 30

**W3C XML Schema Working Group**

aims for defining structure of documents, 11  
 aims in offering primitive data typing, 11

**waiting states, 584**

PNML-manipulating stylesheet  
 declaring, 584

**Web Services Description Language**

see WSDL.

**web services links, 561****Web Services View**

UDDI, 560  
 WSDL, 560

**whiteSpace facet, 111**

attributes, 639  
 values, 111

**WSDL, 560****X****xerces-c (C++) and xerces-j (Java)**

specifying a schema, 660  
 working with, 660  
 XML Schema conformance, 659

**XHTML**

modularization, 269

**XHTML Namespaces**

example, 188

**XLink**

not yet a Recommendation, 575

**XML data example, 460****XML document example, 460****XML domain/key norm**

document class requirements, 348  
 structural normalization suggestions, 348

**XML ID type, 329****XML Information Set**

see infoset.

**XML Schema built-in datatypes**

derived types, 633  
 primitive types, 630

**XML Schema Datatypes Namespace, 193****XML Schema elements**

all element, 391, 603  
 annotation element, 27, 28, 490, 604  
 any element, 605  
 anyAttribute element, 606  
 applInfo element, 27, 28, 380, 490, 558, 607  
 attribute element, 16, 363, 607  
 form attribute, 207  
 attributeGroup element, 84, 85, 608  
 choice element, 609  
 complexContent element, 138, 610  
 complexType element, 13, 76, 88, 378, 610  
 block attribute, 364  
 compositors must be specified inside, 14  
 final attribute, 364  
 documentation element, 27, 28, 358, 490, 612  
 element element, 13, 16, 363, 378, 387, 390, 612  
 block attribute, 364

default attribute, 22  
 final attribute, 363

fixed attribute, 22  
 form attribute, 207

extension element, 139, 614

field element, 615

group element, 81, 391, 615

import element, 373, 616

  namespace attribute, 280, 371

  schemaLocation attribute, 280

include element, 273, 374, 617

  schemaLocation attribute, 369

key element, 617

  name attribute, 333

keyref element, 618

  refer attribute, 333

list element, 618

notation element, 55, 619

redefine element, 277, 303, 370, 374, 619

restriction element, 138, 302, 303, 381, 382, 620

schema element, 366, 373, 622

  attributeFormDefault attribute, 201, 363

  blockDefault attribute, 146

  elementFormDefault attribute, 201, 204, 363

  finalDefault attribute, 146, 363

  targetNamespace attribute, 196, 198, 362, 367

selector element, 623

sequence element, 14, 86, 623

  known as a compositor, 14

  maxOccurs attribute, 388

  minOccurs attribute, 388

simpleContent element, 138, 624

simpleType element, 47, 378, 379, 381, 624

  final attribute, 364

union element, 625

unique element, 626

**XML Schema elements and attributes**

quick reference, 603

**XML Schema for Instance Documents namespace**

noNamespaceSchemaLocation attribute, 29

schemaLocation attribute, 29

**XML Schema instance attributes, 626****XML Schema Instance Namespace**

attributes, 194

xsi:nil attribute, 194

xsi:noNamespaceSchemaLocation attribute, 194

xsi:schemaLocation attribute, 194

xsi:type attribute, 194

**XML Schema Namespace, 193****XML Schema Processor and XDKs (Oracle), 661****XML Schema Quality Checker (IBM)**

XML Schema conformance, 662

**XML Schema regexes**

atoms, 121

basic grammar, 119

character class escapes, 124

character class expressions, 122

meta characters, 121

quantifiers, 130

**XML Schema tools, 657**

authoring tools, 663

Parsers and XML Development Kits (XDKs), 657

  table of comparisons, 658

schema checkers

  table of comparison, 662

schema conversion tools, 666

**XML Schema Validator, 662**

XML Schema conformance, 662

## **XML Schemas**

advantage over DTDs  
leaf elements, 477  
advantages over XML IDs and IDREFs, 332  
all element, 79  
annotations, 27  
attribute declarations, 23  
background, 10  
borrowing constructs from different target namespace,  
    280  
    import mechanism, 280  
    unqualified elements, 283  
borrowing constructs from the same namespace  
    unqualified elements, 278  
    using include and redefine, 279  
borrowing constructs from the same namespace or no  
    namespace, 273  
    include mechanism, 273  
    redefine mechanism, 276  
choice element, 79  
comments, 27  
complex types, 14  
creating for existing database, 441  
    business problem, 441  
    determining documents scope, 443  
    migrating database to XML, 443  
    relational database schema, 442  
    technologies to be used, 441  
creating schemas from multiple namespace  
    examples, 270  
declaring elements, 13  
deriving complex types, 101  
DTDs, 10  
element declarations, 16  
elements  
    type definitions, 16  
Expansion Slots, 507  
grammar based, 494  
identity constraints, 100, 322  
instance document, 13  
multiple schemas  
    how many namespaces should be used, 284  
namespace support, 101  
namespaces, 183  
    flexibility of support, 183  
    reasons for use, 183  
need for, 10  
processing with XSLT, 512  
relative XPaths, 327  
representing relationships  
    aggregation, 433  
    association, 435  
    composition, 433  
    inheritance, 435  
representing schemas, 433  
representing type information, 444  
re-using information, 270  
    rules, 270  
schema components, 102  
sequence element, 79  
Simple Type Definition, 47  
simple types, 14  
symbol spaces for schema components, 366  
syntax example, 13  
traversal path  
    creating, 444  
    importance of, 444

using constructs from other schemas, 101

XML Schema Datatypes Namespace, 193  
XML Schema Instance Namespace, 194  
XML Schema Namespace, 193  
XSLT, 357

## **XML Schemas and XSLT**

creating a form, 375  
    accessing a formfrom instances, 375  
creating documentation, 358  
    schema information, 360  
    summarizing components, 361  
generating a form, 376  
navigating model groups, 384  
navigating schemas, 364  
    navigating between components, 365

## **XML Schemas, creating, 445**

complex example, 450, 452  
    document scope, 452  
    logical schema attributes, 454  
    logical schema entities, 453  
    logical schema relationships, 454  
    orphans, 455  
    traversal path, 453  
    creating user-defined datatypes, 446  
    common user-defined type definitions, 447  
    lookup tables, 446  
modeling logical schema attributes, 448  
    elements or attributes, 448  
modeling logical schema entities, 448  
modeling the logical schema relationship, 449  
    orphaned elements, 450  
    using key-keyrefs relationships, 449  
    using parent-child relationships, 449

## **XML Spy 3.5 and 4.0 (Altova)**

XML Schema conformance, 665

## **XML4C and XML4J (IBM)**

schema conformance, 660

## **XML-Data, 44**

## **XPath**

features and capability, 508  
locating nodes, 325

## **XPath 2.0**

and Schematron, 516

## **XPath expressions**

required for defining identity constraints, 327

## **XPath location paths, 327**

## **xsi:nil attribute**

XML Schema Instance Namespace, 194

## **xsi:noNamespaceSchemaLocation attribute**

XML Schema Instance Namespace, 194

## **xsi:schemaLocation attribute**

XML Schema Instance Namespace, 194

## **xsi:type attribute, 135**

XML Schema Instance Namespace, 194

## **XSLT, 357**

capability provided, 357  
convert XML Schema into HTML page, 360  
creating a form, 375  
    creating documentation, 358  
    summarizing components, 361  
generating a form, 376  
navigating schemas, 364  
    summarizing components, 361

## **XSLT 2.0**

and Schematron, 516

**XSLT elements**

apply-templates element, 587  
copy element, 586  
for-each element, 363, 575, 595  
import element, 575  
key elements, 365, 368, 372  
param element, 574  
stylesheet element, 574  
transform element, 574, 578

**XSLT functions**

document() function, 363, 376, 494  
generate-id() function, 365  
key() function, 366

**XSLT namespace, 568****XSLT stylesheet for PNML, 583**

controlling the transformation, 588  
copy element, 585  
copy element  
places gaining tokens, 587  
places losing tokens, 586  
identifying states which gain and lose tokens, 583  
eight key variables, 583  
rich tokens, 587

**XSV (XML Schema Validator)**

validation, 31

